

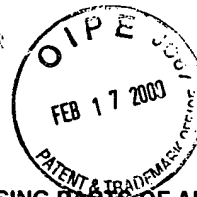


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/471,806	12/23/99	RAMBAUD	M

FARKAS & MANELLI PLLC
2000 M STREET N.W. 7TH FLOOR
WASHINGTON DC 20036-3307

0262/0215



2734

DATE MAILED:

02/15/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

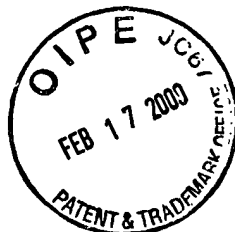
ATTY. DOCKET NO. 73-661

In re Patent Application of

RAMBAUD *et al.*

Serial No. 09/471,806

Filed: December 23, 1999



ATTN: Application Division

For: DIGITAL ADAPTIVE EQUALIZER FOR T1/E1 LONG HAUL TRANSCEIVER

TRANSMITTAL LETTER

Box Missing Part
Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(d) mailed February 15, 2000, attached hereto are the following to complete the formal filing requirements in the above-identified application:

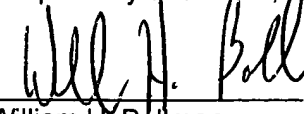
- X Declaration and Power of Attorney signed by each Inventor.
- X Assignment to Lucent Technologies, Inc.
- X A copy of the Notice to File Missing Parts of Application (Form PTO-1533) mailed February 15, 2000

The Commissioner is hereby authorized to charge \$170.00 in payment of the \$130.00 surcharge and the \$40.00 Assignment recordation fee to Deposit Account No. 12-2325.

February 17, 2000

Farkas & Manelli PLLC
2000 M Street, N.W.
Suite 700
Washington, D.C. 20036-3307
202.261.1000

Respectfully submitted,


William H. Bollman
Reg. No. 36,457

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE



PATENT APPLICATION

INVENTORS: Marta M. RAMBAUD & Pablo VILA

CASE: RAMBAUD 5-1

TITLE: DIGITAL ADAPTIVE EQUALIZER FOR T1/E1 LONG HAUL TRANSCEIVER

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Enclosed are the following papers relating to the above-named application for patent:

Specification (including claims and Abstract) - 20 pages
9 Informal sheets of drawing(s)

CLAIMS AS FILE				
	NO. FILED	NO. EXTRA	RATE	CALCULATIONS
Total Claims	30 - 20 =	10	x \$18 =	\$180
Independent Claims	2 - 3 =	0	x \$78 =	\$0
Multiple Dependent Claim(s), if applicable			\$260 =	\$0
Basic Fee				\$760
			TOTAL FEE:	\$940

Please file the application and charge **Lucent Technologies Deposit Account No. 12-2325** the amount of **\$940** to cover the filing fee. Duplicate copies of this letter are enclosed. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 12-2325** as required to correct the error.

Please address all correspondence to **FARKAS & MANELLI, PLLC, 2000 M Street, N.W. 7th Floor, Washington, DC 20036-3307**, and all telephone calls to William H. Bollman at his Washington, DC local number of (202) 261-1000.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "W.H. Bollman".

William H. Bollman

Reg. No.: 36,457

Attorney for Applicant(s)

Date: December 23, 1999

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